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Context

Dynamical tunneling in quantum systems of more-than-one-degree-of-freedom exhibits many features lacking from the well-known one-degree-of-freedom tunneling. One of those new phenomena is **Chaos-Assisted Tunneling (CAT)** which occurs when the interaction between two “regular” states is enhanced by the proximity of a third “chaotic” state.

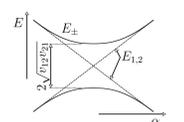
Out of the many fields that will benefit improvements in understanding this phenomenon, optics is one that could lead to important technological advances. We propose a simple physical model to study CAT in optical microcavities.

Interaction models

When states of a given system interact by dynamical tunneling, their eigenvalue generally exhibits **avoided-crossings through parametric modification**. To understand this phenomenon, one can model the system near the interaction with a **local interaction matrix**. Two important cases are presented below: **direct tunneling** and **CAT**.

Direct tunneling

Interaction of 2 states $|E_1\rangle$ and $|E_2\rangle$



- Control parameter: α
- Unperturbed levels: $E_1 = -E_2 = \alpha$
- Local interaction matrix:

$$\mathbf{M}_{\text{Direct}} = \begin{pmatrix} E_1(\alpha) & v_{12} \\ v_{21} & E_2(\alpha) \end{pmatrix}, \quad (1)$$

Fig. 1: Direct coupling between two locally isolated states.

$$v_{12} = v_{21}^*: \text{coupling strength}$$

- Energy levels: $E_{\pm} = \pm \sqrt{\alpha^2 + v_{12}v_{21}}$, **anti-crossing**.

Chaos-Assisted Tunneling (CAT)

Interaction of 3 states: $|E_1\rangle$ and $|E_2\rangle$ are “regular” and $|E_C\rangle$ is “chaotic”

- Regular states’ control parameter: α
- Chaotic state’s control parameter: λ
- Vanishing direct tunneling: $v_{12} = v_{21} = 0$
- Local interaction matrix:

$$\mathbf{M}_{\text{CAT}} = \begin{pmatrix} E_1(\alpha) & 0 & v_{1c} \\ 0 & E_2(\alpha) & v_{2c} \\ v_{c1} & v_{c2} & E_c(\lambda) \end{pmatrix}, \quad (2)$$

$v_{jc} = v_{cj}^*$: coupling strength

- Energy levels (perturbative sol’n): **Regular levels’ splitting increases with proximity of chaotic level.**

Tomsovic, S. J. Phys. A, 1998, 31, 9469-9481

Physical set-up

The physical problem of interest concerns the time-independent **monochromatic electric field** $\psi(\mathbf{r})$ inside an optical microcavity. In this case, Maxwell’s equations reduce to **Helmholtz equation**

$$\left[\nabla^2 + n^2(\mathbf{r})k^2 \right] \psi(\mathbf{r}) = 0, \quad (3)$$

- Transverse magnetic polarization: $\mathbf{E}(\mathbf{r}) = \psi(\mathbf{r})\hat{\mathbf{a}}_z$
- Inhomogeneous refractive index: $n(\mathbf{r})$
- Wavenumber: k .

Full-wave solution (finite k)

Closed cavity scenario (“perfectly conducting boundary”)

- Dirichlet BCs: $\psi(\mathbf{r})|_{\partial\Omega} = 0$
- Discrete set of eigenvalues: $\{k_m\} \in \mathbb{R}$
- Sol’n: Finite Element Method.

Semiclassical limit

The limit $k \rightarrow \infty$ leads to semi-classical dynamics:

- Birkhoff billiards: Specular reflection
- Quantification: Optical Path Length (OPL).
- Poincaré section on the boundary: $(s, p = \sin \chi)$
- Husimi’s distribution $F^H(s, p)$: A distribution in canonical coordinates associated with $\psi(\mathbf{r})$; establishes **ray-wave correspondence**

Crespi, B. et. al. Rev. E, 1993, 47, 986-991

Inhomogeneous ellipse

The proposed geometry consists in an ellipse with constant refractive index containing two circular “holes”, as Fig. 2 depicts. This system features many parameters:

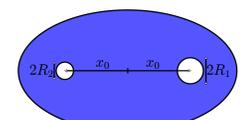
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- Area: A
 - Eccentricity: ϵ
 - Refractive index: n_0 .
- Two “holes”
- Positions: $x_1 = -x_2 \equiv x_0$
 - Radii: $R_{1,2}$
 - Refractive indexes: $n_{1,2} = 1$.

Fig. 2: Elliptic cavity featuring 2 holes. The whole structure may be regarded as an inhomogeneous cavity of integrable shape..

This geometry supports 3 distinct trajectory types

- **Regular with elliptic caustic (type 1)**
- **Regular with hyperbolic caustic (type 2)**
- **Chaotic.**

Control parameters

The different parameters are chosen such that the 3 regions of phase space are well separated (Fig. 3). One then considers the effects of the parameters on the interaction scenarios.

Direct coupling

The parameter ϵ affects all state types. However, regarding the classical dynamics of the regular modes, ϵ

- Increases the OPL of **type 1 trajectories**
- Decreases the OPL of **type 2 trajectories**.

One may then distinguish type 1 from type 2 by their parametric dependency. This behaviour **will generate avoided-crossings** between type 1 and type 2 states: $\epsilon \sim \lambda$.

CAT

Since the ellipse is y-axis symmetrical, modifying $R_2 \leq R_1$

- **Does not affect** regular states (**types 1 and 2**)
- **Affects only** chaotic states (**type 3**).

One may then consider matrix (2) as a valid model for local description near a 3 states interaction: $R_2 \sim \lambda$.

Controlling CAT follows a simple procedure:

1. Find a weak coupling between 2 regular states (direct coupling) using ϵ
2. Steer a neighboring **chaotic state** close to the interaction region using R_2 .

Fig. 4 illustrates a desirable configuration of eigenvalues in order to observe and control CAT. This set-up is further analyzed in the next section.

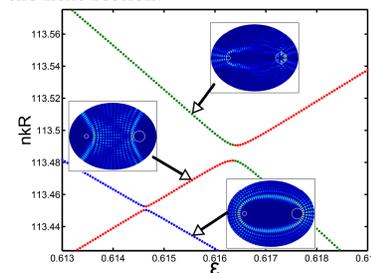


Fig. 4: Typical eigenvalue behaviour with regards to parameter ϵ near a 3 states interaction region. Husimi’s distribution relates $\psi(\mathbf{r})$ to the corresponding phase space domain. Constant $R = \sqrt{A}$ is a characteristic length.

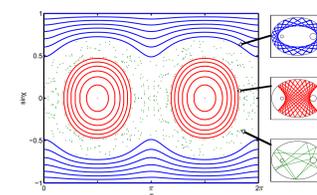


Fig. 3: Phase space for $A = \pi, \epsilon = 0.615, n_0 = 1.5, x_0 = 0.679, R_1 = 0.1414$ and $R_2 = 0.035$ and typical trajectory for the 3 regions of phase space.

CAT in action

The adiabatic behaviour of one **chaotic state** over a range of $R_2 \in [0.530, 0.247]$ is investigated: the **chaotic state** intersects the avoided-crossing between two regular states. For CAT to occur, the splitting between the regular doublet should vary as the chaotic level approaches.

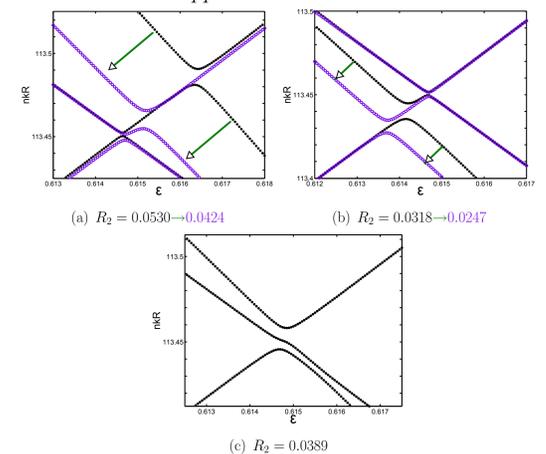


Fig. 5: R_2 parametric dependency of the eigenstates of the triplet of Fig. 4. Each figure presents a different scenario : (a) the chaotic level approaches the regular doublet (b) the chaotic level leaves the regular doublet (c) all 3 levels are strongly interacting. For (a)-(b), two spectra are superimposed in order to show the levels’ dynamics, the black dots identify the higher R_2 value.

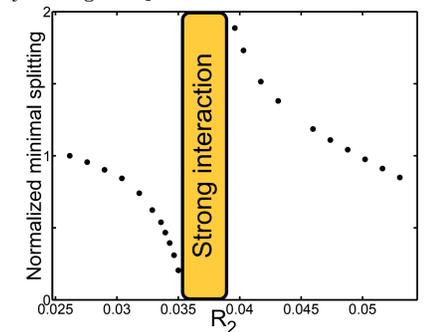


Fig. 6: The measured minimal splitting between the two regular states as the parameter R_2 is varied. This range of parameter is the same as shown on Fig. 5. For $R_2 \in [0.035, 0.039]$, those three modes are strongly interacting and the minimal splitting between the two regular states cannot be measured.

Conclusion

- A method to predict and control CAT in a real physical system has been presented.
- Dynamical tunneling connects different phase space regions.
- Same behaviour should appear for the equivalent open system. This could lead to control of directional emission.